

101/31/01
JC490 U.S. PTO

2-1-01

PTO/SB/05 (11-00)

Approved for use through 10/31/2002 OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. CEC-170/SD-2231

First Inventor Yue Yun Wang

Title See 1 in Addendum

Express Mail Label No. EF 227 530 285 US

PTO

09/774524

01/31/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27.
3. Specification [Total Pages 31]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) (35 U.S.C. 113) [Total Sheets 7]
5. Oath or Declaration [Total Pages 4]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 CFR 3.73(b) Statement Power of Attorney (when there is an assignee)
11. English Translation Document (if applicable)
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. Other: *Check #199594 for \$1.298* 00

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP)

of prior application No. _____

Prior application information: Examiner _____

Group Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	<i>Use Customer Number or attach your code label here</i>			or <input checked="" type="checkbox"/> Correspondence address below
Name	Jeffrey A. Michael			
Address	Baker & Daniels			
	300 N. Meridian St., Suite 2700			
City	Indianapolis	State	IN	Zip Code
Country	USA	Telephone	317-237-0300	Fax 317-237-1000

Name (Print/Type)	Jeffrey A. Michael	Registration No. (Attorney/Agent)	37,394
Signature	<i>Jeffrey A. Michael</i>		Date January 31, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 1,298.00)

Complete if Known

Application Number	
Filing Date	Herewith
First Named Inventor	Yue Yun Wang
Examiner Name	
Group Art Unit	
Attorney Docket No.	CEC-170/SD-2231

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to
 Deposit Account Number 02-0390
 Deposit Account Name Baker & Daniels
 Charge Any Additional Fee Required
 Under 37 CFR 1.16 and 1.17
 Applicant claims small entity status.
 See 37 CFR 1.27

2. Payment Enclosed:
 Check Credit card Money Order Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Fee Code (\$)	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65 Surcharge - late filing fee or oath	
127	50	227	25 Surcharge - late provisional filing fee or cover sheet	
139	130	139	130 Non-English specification	
147	2,520	147	2,520 For filing a request for ex parte reexamination	
112	920*	112	920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840* Requesting publication of SIR after Examiner action	
115	110	215	55 Extension for reply within first month	
116	390	216	195 Extension for reply within second month	
117	890	217	445 Extension for reply within third month	
118	1,390	218	695 Extension for reply within fourth month	
128	1,890	228	945 Extension for reply within fifth month	
119	310	219	155 Notice of Appeal	
120	310	220	155 Filing a brief in support of an appeal	
121	270	221	135 Request for oral hearing	
138	1,510	138	1,510 Petition to institute a public use proceeding	
140	110	240	55 Petition to revive - unavoidable	
141	1,240	241	620 Petition to revive - unintentional	
142	1,240	242	620 Utility issue fee (or reissue)	
143	440	243	220 Design issue fee	
144	600	244	300 Plant issue fee	
122	130	122	130 Petitions to the Commissioner	
123	50	123	50 Processing fee under 37 CFR 1.17(q)	
126	180	126	180 Submission of Information Disclosure Stmt	
581	40	581	40 Recording each patent assignment per property (times number of properties)	
146	710	246	355 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355 For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355 Request for Continued Examination (RCE)	
169	900	169	900 Request for expedited examination of a design application	
Other fee (specify) _____				

SUBTOTAL (1) (\$ 710)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
26	-20** = 6	x 18	= 108
Independent Claims	9 - 3** = 6	x 80	= 480
Multiple Dependent		270	= 0

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103	18	203 9 Claims in excess of 20
102	80	202 40 Independent claims in excess of 3
104	270	204 135 Multiple dependent claim, if not paid
109	80	209 40 ** Reissue independent claims over original patent
110	18	210 9 ** Reissue claims in excess of 20 and over original patent

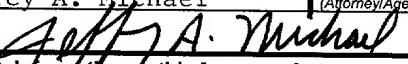
SUBTOTAL (2) (\$ 1,298)

**or number previously paid, if greater, For Reissues, see above

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 1,298)

SUBMITTED BY

Name (Print/Type)	Jeffrey A. Michael	Registration No. (Attorney/Agent)	37,394	Telephone	(317) 237-0300
Signature				Date	January 31, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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Attachment to PTO/SB/05 (11-00)
UTILITY PATENT APPLICATION TRANSMITTAL

1. SYSTEM FOR DIAGNOSING EGR VALVE, ACTUATOR AND SENSOR RELATED FAILURE CONDITIONS

Date: January 31, 2001

Attorney Docket No.: CEC-170/SD-2231

BOX PATENT APPLICATION
Commissioner for Patents
Washington, D.C. 20231

Re: Application for United States Letters Patent
Applicants: Yue Yun Wang, Xiaoqiu Li, Mark R. Stepper, Chang Yang

Title of Invention: SYSTEM FOR DIAGNOSING EGR VALVE, ACTUATOR
AND SENSOR RELATED FAILURE CONDITIONS

Sir:

Forwarded herewith is the above-identified application, consisting of the following:

Specification (21 Pages)
Claims (9 Pages)
Abstract of the Disclosure X
Drawings (7 sheets)
Declaration Executed X Unexecuted
Information Disclosure Statement
Form PTO/SB/08A (2 pgs.)
23 references
Patent Application Fee Determination Record
Fee Transmittal
Utility Patent Application Transmittal
Check #199594 for \$1,298.00

The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 02-0390, BAKER & DANIELS. A duplicate copy of this sheet is enclosed.

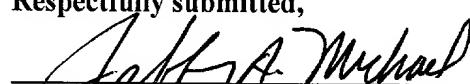
Any additional filing fees required under 37 CFR 1.16.

The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 02-0390, BAKER & DANIELS. A duplicate copy of this letter is enclosed.

Any patent application processing fees under 37 CFR 1.17.

Any filing fees under 37 CFR 1.16 for presentation of extra claims.

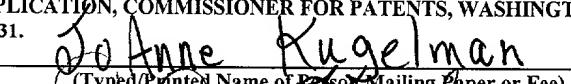
Respectfully submitted,

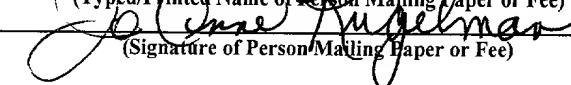

Jeffrey A. Michael
Registration No. 37,394

Attorney for Applicants

BAKER & DANIELS
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APPLICATION, COMMISSIONER FOR PATENTS, WASHINGTON, D.C.
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(Signature of Person Mailing Paper or Fee)